



Pergamon

INVESTMENT BANK

Leveraging Africa, Investing Globally.

September 2025

About US

Pergamon Investment Bank is an innovative financial services firm that is redefining the landscape of investment banking in Africa. Licensed in December 2022, we are driven by a mission to empower our clients with unparalleled service excellence, integrity, and innovation. Our vision is to become a transformative force in the investment industry, delivering sustainable and impactful investments that foster client prosperity across the continent.

At Pergamon, we take pride in our vibrant, sophisticated, and trustworthy approach. We believe in being more than just a financial partner; we are committed to understanding your unique needs and providing solutions that are as flexible as they are effective. Whether you're a young professional taking your first step into investing or a seasoned business leader looking to diversify your portfolio, Pergamon is here to guide you every step of the way.

Pergamon Overview



Mission

To empower our clients to achieve financial success through unparalleled service excellence, integrity, and innovation.



Vision

To be the transformative force in the investment industry through sustainable, impactful investments that drive client prosperity in Africa and beyond.

Values



People Focus: We prioritize our clients' and employees' growth and well-being.



Excellence: We maintain the highest standards in every aspect of service delivery.



Client Focus: We understand our clients' needs and provide tailored solutions.



Integrity: We ensure accountability and truth in all our dealings.



Innovation: We constantly evolve to stay ahead of market trends and client expectations.

Pergamon Services

Brokerage (Share Trading and Fixed Income)

Stock Brokerage services connect you to the investment opportunities available on the Nairobi Securities Exchange (NSE). Whether focusing on equities or fixed-income options, our team is equipped to guide you through the process and help you make informed decisions. Clients can open Central Depository System (CDS) accounts directly with us, allowing trades to be executed on your behalf for both shares and bonds, simplifying the investment experience and ensuring that portfolios are managed with precision.

Investing in equities through the NSE offers the potential for capital gains as shares increase in value. Many of these companies also provide dividends, giving a regular income stream in addition to the growth of investments. For those who prefer stable returns, fixed-income offerings include government and corporate bonds. These low-risk investments are ideal for generating steady income with reduced exposure to market volatility. The predictability of bonds makes them a reliable choice for building a balanced and secure portfolio.

Our approach is personalized to fit your financial goals, ensuring transparency in every transaction. Whether the objective is wealth growth, asset protection, or consistent income, our brokerage services are here to support you on your investment journey.





Dealing (Institutional Share Trading and Fixed Income)

The Dealing service is designed for institutional investors who require precise and efficient handling of large trades. With expertise in managing significant transactions across equities and fixed-income securities, every trade is executed with meticulous attention to accuracy. We partner closely with asset managers, pension funds, insurance companies, and other institutional clients to align our services with their specific trading strategies.

Through these strong relationships and a thorough understanding of market behaviour, trades are executed seamlessly, all while maintaining confidentiality and discretion. In the world of institutional trading, timing is everything. Continuous market monitoring allows for orders to be placed when conditions are most favourable. And clients are kept informed with clear and timely updates, offering full visibility into their transactions.

Choosing Pergamon Dealing service means more than just trade execution; it's about partnering with a team committed to strengthening your investment strategy.

Each trade is designed to contribute to overall success, making the complexities of institutional investing easier to navigate.

Corporate Finance

Pergamon Corporate Finance services are here to help businesses tackle financial challenges and seize growth opportunities. We offer a variety of services to ensure your financial strategy aligns with your long-term goals. Our key offerings include:

■ Mergers and Acquisitions (M&A)

We assist with both the buy-side and sell-side, helping you identify the right opportunities, negotiate favourable terms, and close deals that add value.

■ Capital Raising

Whether you need equity or debt, we help secure the funds necessary for growth, drawing on our experience to structure and execute the right transactions.

■ Debt Advisory

We provide tailored advice on structuring, refinancing, and optimizing debt to ensure your financial obligations support your business strategy.

■ Restructuring

For businesses facing tough times or major changes, we offer restructuring services to stabilize operations and set the stage for recovery and future growth.

■ Valuations

We deliver clear and thorough valuations of businesses, assets, and projects to help you understand what drives value and where risks lie.

■ Financial Advisory

From growth strategies and market entry to divestitures and partnerships, we work closely with your management team to provide actionable advice.



Our approach is centred around your needs, offering solutions that address the unique challenges and opportunities your business faces. The Corporate Finance team brings experience and a commitment to excellence, ensuring that every recommendation is aligned with your broader strategic goals. Whether you're expanding, restructuring, or fine-tuning your financial position, we're here to guide you every step of the way.

Research

Pergamon's Research services equip clients with the critical information needed to make sound investment and business decisions. The team is committed to providing accurate and timely market analysis, ensuring clients stay ahead of trends and uncover valuable opportunities. These research services cover a wide range of areas:

- **Market Analysis:** We keep a close eye on market trends, examining economic conditions, sector performance, and emerging opportunities. This analysis gives clients a clear understanding of the market environment and its potential impact on their investments.
- **Industry Reports:** Our reports dive deep into key sectors, focusing on growth drivers, competitive landscapes, and future prospects.
- **Macroeconomic Forecasting:** Our forecasts help clients anticipate changes in the economic climate, allowing them to adjust strategies as needed. We provide projections on key economic indicators like GDP growth, inflation, and interest rates, which are crucial for long-term planning.
- **Customized Research:** Recognizing that every client's needs are unique, we offer tailored research solutions. Whether you need a focused analysis of a niche market or a detailed overview of an industry, our research is designed to be actionable and relevant.

Our research services are designed to give clients the knowledge they need to make decisions that align with their goals. When you partner with Pergamon Investment Bank for research, you gain more than just data—you gain the insights necessary to navigate the market with confidence and success.



Future Offerings:

Expanding Horizons



Pergamon Investment Bank is always looking for new ways to enhance its product offerings and deliver innovative investment solutions to clients. As part of this effort, the Asset Management division is currently developing a range of new products. One of the key initiatives in progress is the launch of specialized collective investment schemes.

These products are designed to meet various investor needs, providing access to professionally managed portfolios that target specific asset classes and sectors.

As these new products move closer to launch, the focus remains on delivering exceptional value through strategic investments that align with what clients want. By combining innovation with reliability, these upcoming offerings will broaden the range of solutions available to our clients.

The Team



Wanjiru Gichuru

Chief Executive Officer & Executive Board Member

Wanjiru Gichuru leads Pergamon Investment Bank as the Chief Executive Officer and an Executive Board Member. With a distinguished career in financial services, she brings a strategic approach that aims to position Pergamon as a leading investment bank across Africa.

Wanjiru holds a Global Executive Master's in Business Administration (GeMBA) from the United States International University in Nairobi and a Bachelor of Science in Actuarial Science from Jomo Kenyatta University of Agriculture and Technology. She is also a member of several professional bodies, including the Institute of Certified Investment and Financial Analysts (ICIFA) and the Kenya Association of Stockbrokers and Investment Banks. Her credentials are further bolstered by certifications from the Chartered Institute for Securities and Investment and her status as an Authorized Trading Dealer at the Nairobi Securities Exchange.

Wanjiru has successfully expanded regional reach and driven growth in challenging environments, establishing Tracom Services in Ethiopia, Burundi, Liberia, and Zambia. She also boosted revenue and trading capacity at Genghis Capital through strategic product expansions and initiatives.

At Pergamon, Wanjiru is responsible for setting the company's direction, driving business growth, and ensuring excellence in all operations. She is focused on expanding into emerging markets, integrating advanced technology, and embedding responsible investment practices. Her leadership style emphasizes a client-first approach, with a focus on personalized solutions that align with Pergamon's mission to deliver exceptional value.

Wanjiru's vision for Pergamon is clear: to lead the investment banking sector across Africa through innovation, growth, and a commitment to sustainable practices. Under her guidance, Pergamon is set to explore new opportunities across the continent, leveraging technology and expertise to stay ahead in the industry.



Purity Gachimbi

Head of Business

Purity Gachimbi heads the Business teams at Pergamon Investment Bank, bringing over 15 years of experience in commercial and investment banking. Her expertise spans Treasury Management, Fixed Income trading, Liquidity and Risk Management, and Debt and Capital restructuring. She holds an MBA in Strategic Management from USIU-Africa, a Bachelor's in Actuarial Science from JKUAT, and certifications including the ACI Dealing Certificate (Distinction) and CISI Level 1.

At Pergamon, Purity is responsible for overseeing business growth, client relationships while driving product development and ensuring that investments align with client goals and regulatory standards. Her standout achievements include managing a KES 40 billion fixed income portfolio, playing a key role in the transition of Chase Bank to SBM Bank, and leading a debt restructuring initiative at Rafiki Microfinance Bank to enhance liquidity and reduce costs.

Looking ahead, Purity is focused on positioning Pergamon as the leading investment bank in Africa. She is particularly passionate about leveraging technology to expand access to sustainable investment opportunities, enabling the firm to better serve its clients and positively impact the communities it operates in.

Patrick Kimani

Head of IT



Patrick Kimani is a seasoned IT professional with extensive experience in managing IT infrastructure and projects within the investment banking space. He holds a BSc in Information Technology from Jomo Kenyatta University of Agriculture and Technology (JKUAT) and is a member of the Information Communication Technology Association of Kenya (ICTAK).

At Pergamon, Patrick leads the IT department, overseeing technical support, network management, cybersecurity, application development, and database administration. His expertise in aligning IT strategies with business objectives ensures operational efficiency, security, and seamless integration of technology-driven solutions.

Patrick envisions a future where Pergamon leverages cutting-edge technology to enhance service delivery, close existing gaps in client expectations, and drive innovation in the investment banking sector. He is committed to ensuring that Pergamon remains ahead of the curve in adopting technological advancements that improve client retention and satisfaction.



Rachel Anching'a

Head of Risk and Compliance

Rachel Anching'a heads the Risk and Compliance department at Pergamon, drawing on over ten years of experience in the capital markets. She holds a B.A. in Mathematics and Economics from the University of Nairobi and is a Certified Public Accountant (CPA-K). Rachel is also CISI-certified and has completed training in Corporate Governance and Anti-Money Laundering.

Rachel's career began in auditing, where she developed a deep understanding of compliance and risk management. At Pergamon, she is responsible for overseeing the bank's risk and compliance strategies, ensuring they meet regulatory standards and internal policies. Her main objective is to foster a strong culture of compliance and uphold the bank's risk management initiatives.

Rachel's standout achievement at Pergamon is the establishment of a fully operational risk and compliance department. She looks forward to expanding into new markets and gaining exposure to different regulatory environments in the global capital markets.



Gerry Ndungu

Sales Trader – Equities & Fixed Income

Gerry Ndungu is a skilled equities and fixed-income trader with over seven years of experience in high-touch execution trading, client management, and strategic advisory. He holds a BSc in Information Systems Technology from USIU-Africa, graduating Magna cum Laude. He is certified in CISI Modules 1 & 2, covering International Securities, Investment, and Market Regulations in Kenya.

At Pergamon, Gerry specializes in trading, sales, and client acquisition, focusing on high-net-worth individuals (HNWIs) and institutional clients. His expertise in trading blue-chip stocks on the Nairobi Securities Exchange (NSE) and executing high-value bond trades has positioned him as a key contributor to the firm's growth.

Gerry is passionate about Pergamon's financial planning approach, which extends beyond investments to provide clients with a holistic financial strategy. He believes that incorporating financial planning will significantly enhance client outcomes, supporting long-term financial independence.



Anne Makori

Head of Administration & Operations

Anne Makori heads the Administration and Operations teams at Pergamon Investment Bank, bringing over 15 years of experience in banking, capital markets, custody services, and client operations. She holds a Bachelor's degree in Business and Information Technology from Kenya Methodist University and a Higher Diploma from the Institute for the Management of Information Systems (IMIS – London). She is also certified by the Nairobi Securities Exchange (NSE) and is a Certified Automated Trading System (ATS) dealer.

Prior to joining Pergamon, Anne held senior roles at Equity Investment Bank, where she set up the Fixed Income Desk, led operations for high-net-worth clients, and coordinated large-scale projects like the Safaricom IPO. At Pergamon, she is responsible for streamlining operations, enhancing service delivery, and ensuring regulatory compliance.

Anne is passionate about building agile, tech-enabled systems that support growth and deliver exceptional experiences for clients and internal teams alike. Her goal is to position Pergamon as a benchmark for operational excellence in the investment banking space.

Gerald Umbisa

Associate Corporate Finance



Gerald Umbisa is an Associate in the Corporate Finance department at Pergamon. He holds a BSc. in Actuarial Science from JKUAT and has earned certifications from the Chartered Institute for Securities & Investment (CISI) - Level 2 and Chartered Financial Analyst (CFA) - Level 2. Gerald brings over five years of experience in transaction advisory services across various sectors, including capital raising and company restructuring.

Gerald's role involves executing transactions, managing client relationships, and providing financial analysis and valuations. He develops financial models, creates pitch books, conducts due diligence, and offers strategic guidance that leads to successful deals. A key achievement at Pergamon includes leading the development of innovative products tailored to meet diverse client needs.

Gerald is driven by a commitment to diversify investment products and enhance digital tools within the industry, aiming to boost efficiency and improve the customer experience.



Sammy Martin Mwangi

Finance Officer

Sammy Martin is a finance professional with a decade of experience in investment banking, specializing in financial management, taxation, regulatory compliance, and fund management. He holds a Bachelor of Commerce (Finance) from the Catholic University of Eastern Africa and is a CPA Finalist. He is currently pursuing a Master's in Tax and Customs Administration at Moi University.

At Pergamon, Sammy undertakes financial supervision of all core functions, including strategic planning, cash flow management, risk assessment, and investor relations. His role includes advising the CEO and Board of Directors, managing financial reporting, and ensuring compliance with industry regulations. He has been instrumental in streamlining Pergamon's accounting and finance processes to enhance operational efficiency.

Sammy is dedicated to positioning Pergamon as a leader in the regional investment banking industry. He is passionate about identifying new opportunities, driving financial innovation, and fostering a culture of professionalism and excellence.

Beatrice Achieng Nyafuanga

Customer Service Executive



Beatrice Nyafuanga is a Customer Service Executive at Pergamon Investment Bank, where she plays a key role in delivering a seamless and professional client experience. She holds a Bachelor of Commerce degree from the Technical University of Mombasa (TUM), is a member of the Institute of Customer Experience (ICX Kenya), and is currently pursuing her CIFA certification. She has also completed CISI Levels I and II.

Beatrice brings strong expertise in customer experience, financial services, and administrative support. Her responsibilities include document and mail management, reception and front-office coordination, support staff oversight, and customer assistance. She is committed to delivering fast, courteous, and effective service to both internal and external clients.

Prior to joining Pergamon, Beatrice was recognized at Equity Investment Bank for her excellence in client service and adaptability. At Pergamon, she has contributed to improved turnaround times and continues to champion service quality. Her goal is to ensure every interaction reflects Pergamon's values of trust, responsiveness, and professionalism.



Shadrack Manoti Manyinsa

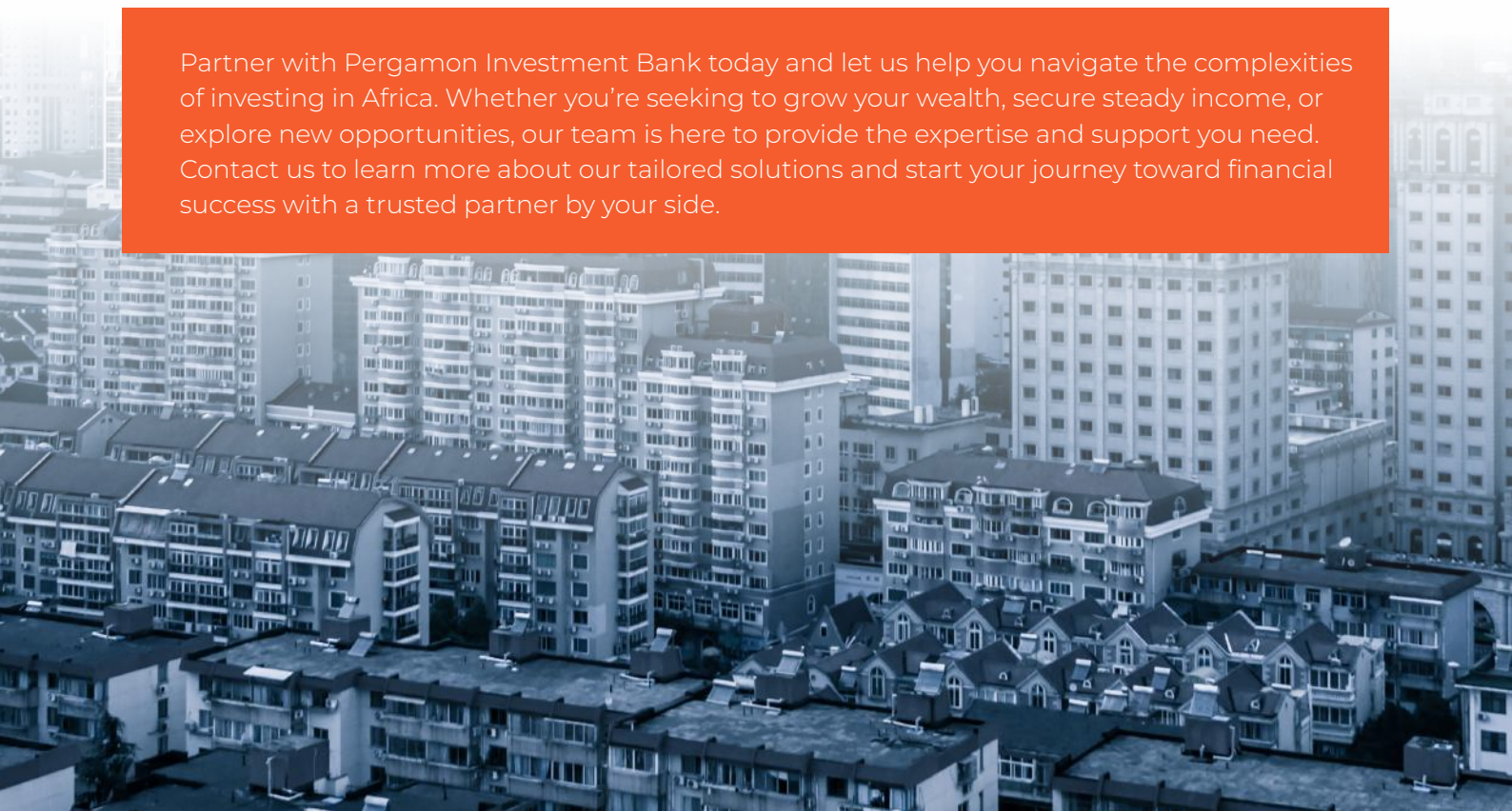
Research Analyst

Shadrack Manyinsa is a Research Analyst at Pergamon Investment Bank, where he leads the development and dissemination of timely and actionable investment research. He holds a Bachelor of Science in Actuarial Science from the University of Eldoret and is a member of ICIFA. Shadrack has completed CISI Levels I & II, CIFA I, and training in risk management and SPSS. He is currently pursuing the CFA designation.

With over four years of experience in investment research, Shadrack previously headed the Research Department at Kingdom Securities Limited and supported risk and compliance functions within the Co-operative Bank Group. At Pergamon, he is responsible for producing equity and fixed income reports, macroeconomic insights, thematic analysis, and investment recommendations.

Shadrack's standout achievement is establishing Pergamon's Research Department. He is passionate about building a robust research function that drives strategic investment decisions and supports the firm's ambition to become a globally recognized financial institution.

Partner with Pergamon Investment Bank today and let us help you navigate the complexities of investing in Africa. Whether you're seeking to grow your wealth, secure steady income, or explore new opportunities, our team is here to provide the expertise and support you need. Contact us to learn more about our tailored solutions and start your journey toward financial success with a trusted partner by your side.





Leveraging Africa, Investing Globally.



 4th Floor, Delta Chambers, Waiyaki Way, Nairobi, Kenya.

 Postal Address: P.O Box 25749, Lavington, Kenya.